



PATENT

Case Docket No. AXIAMD.006A

Date: May 30, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew H. Cragg, M.D., et al.

Appl. No. : 09/782,534

Filed : February 13, 2001

For : APPARATUS FOR
PERFORMING A
DISCECTOMY THROUGH A
TRANS-SACRAL AXIAL
BORE WITHIN THE
VERTEBRAE OF THE SPINE

Examiner : Unknown

Group Art Unit : 3731

I hereby certify that this correspondence and all marked
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May 30, 2002

(Date)

Gerard von Hoffmann, Reg. No. 33,043

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with thirty-four (34) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Gerard von Hoffmann
Registration No. 33,043
Attorney of Record

#9

AXIAMD.006



PATENT

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Examiner : Unknown)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing thirty-four (34) references that are also enclosed. This Supplemental Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: May 30, 2002

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. AXIAMD.006A | APPLICATION NO. 09/782,534 |
| SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) | APPLICANT Andrew H. Cragg, M.D., et al. | |
| | FILING DATE February 13, 2001 | GROUP 3731 |

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| <p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p> | |

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) | ATTY. DOCKET NO. AXIAMD.006A | APPLICATION NO. 09/782,534 |
| | APPLICANT Andrew H. Cragg, M.D. | |
| | FILING DATE February 13, 2001 | GROUP 3731 |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. | |